

**APPENDIX B:
DETAILED SUMMARY CHARTS OF RESPONSES TO MATRICES
FROM INFORMATION COLLECTION TEMPLATE**

BASELINE DISCLOSURE REQUIREMENTS SCENARIO 1: TREATMENT—NONEMERGENCY

Scenario

Adult person from your state seeks nonemergency treatment from a health care provider in another state (e.g., doctor’s office, a health care treatment facility such as a hospital or outpatient center). Is consent required by your state to allow the disclosure of any and all protected health information (PHI) on this patient held by the “PHI Sources” to the health care provider in the other state? (Refer to Section 3.3 Summary Data for explanation of responses.)

Table B-1. Scenario 1: Commercial Payer (Other than Managed Care Organization)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	8 (72.7%) IN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	8 (72.7%) IN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) IN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	8 (72.7%) IN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Genetic (tests or information)	6 (54.5%) IN, NY, OK, UT, VT, WI	3 (27.3%) MA, NH, RI	2 (18.2%) ME, MN	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	5 (45.5%) IN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	1 (9.1%) MN	2 (18.2%) NH, OK	0 (0%)
HIV: Test Results	5 (45.5%) IN, NY, UT, VT, WI	4 (36.4%) MA, ME, NH, RI	1 (9.1%) MN	1 (9.1%) OK	0 (0%)
HIV: Testing—ID of Person Taking Test	5 (45.5%) IN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	2 (18.2%) MN, NH	1 (9.1%) OK	0 (0%)
HIV: Medications Used	5 (45.5%) IN, NY, UT, VT, WI	2 (18.2%) MA, RI	2 (18.2%) ME, MN	2 (18.2%) NH, OK	0 (0%)
HIV: Other Indication of HIV/AIDS Status	5 (45.5%) IN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	1 (9.1%) MN	2 (18.2%) NH, OK	0 (0%)
Immunization History from Provider Record	8 (72.7%) IN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	2 (18.2%) ME, MN	0 (0%)	1 (9.1%) MA
Lab Test Order and Results (excluding HIV and genetic tests)	8 (72.7%) IN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	7 (63.6%) IN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	3 (27.3%) ME, MN, VT	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	6 (54.5%) IN, NH, NY, UT, VT, WI	2 (18.2%) MA, OK	3 (27.3%) ME, MN, RI	0 (0%)	0 (0%)

(continued)

Table B-1. Scenario 1: Commercial Payer (Other than Managed Care Organization) (continued)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Other STDs	6 (54.5%) IN, NH, NY, UT, VT, WI	1 (9.1%) RI	2 (18.2%) ME, MN	2 (18.2%) MA, OK	0 (0%)
Patient ID and Demographic Information	8 (72.7%) IN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Substance Abuse	7 (63.6%) IN, NH, NY, RI, UT, VT, WI	3 (27.3%) MA, ME, OK	1 (9.1%) MN	0 (0%)	0 (0%)
Other Copies of Prescriptions	—	—	—	—	MN

Table B-2. Scenario 1: Hospital (Nonmental Health)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	8 (72.7%) IN, MA, ME, NH, OK, RI, UT, WI	3 (27.3%) MN, NY, VT	0 (0%)	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	7 (63.6%) IN, MA, ME, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	0 (0%)	1 (9.1%) WI	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) IN, MA, ME, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) WI	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	7 (63.6%) IN, MA, ME, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) WI	0 (0%)	0 (0%)
Genetic (tests or information)	5 (45.5%) IN, OK, RI, UT, WI	5 (45.5%) MA, MN, NH, NY, VT	1 (9.1%) ME	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Medications Used	5 (45.5%) ME, OK, RI, UT, WI	4 (36.4%) MA, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	8 (72.7%) IN, MA, ME, NH, OK, RI, UT, WI	2 (18.2%) NY, VT	1 (9.1%) MN	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	7 (63.6%) IN, MA, ME, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) WI	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	8 (72.7%) IN, MA, ME, NH, OK, RI, UT, WI	3 (27.3%) MN, NY, VT	0 (0%)	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	2 (18.2%) NH, UT	5 (45.5%) MA, MN, NY, OK, VT	2 (18.2%) ME, RI	2 (18.2%) IN, WI	0 (0%)
Other STDs	5 (45.5%) ME, NH, OK, UT, WI	4 (36.4%) MN, NY, RI, VT	0 (0%)	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	8 (72.7%) IN, MA, ME, NH, OK, RI, UT, WI	3 (27.3%) MN, NY, VT	0 (0%)	0 (0%)	0 (0%)
Substance Abuse	4 (36.4%) MA, NH, RI, UT	5 (45.5%) ME, MN, NY, OK, VT	0 (0%)	2 (18.2%) IN, WI	0 (0%)
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-3. Scenario 1: Managed Care Organizations

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	7 (63.6%) IN, NH, OK, RI, UT, VT, WI	2 (18.2%) MN, NY	1 (9.1%) ME	0 (0%)	1 (9.1%) MA
Claims Data (other than medication history; excludes Medicaid claims)	8 (72.7%) IN, MA, NH, OK, RI, UT, VT, WI	2 (18.2%) MN, NY	1 (9.1%) ME	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) IN, NH, OK, RI, UT, VT, WI	2 (18.2%) MN, NY	1 (9.1%) ME	0 (0%)	1 (9.1%) MA
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	8 (72.7%) IN, MA, NH, OK, RI, UT, VT, WI	2 (18.2%) MN, NY	1 (9.1%) ME	0 (0%)	0 (0%)
Genetic (tests or information)	5 (45.5%) IN, OK, UT, VT, WI	5 (45.5%) MA, MN, NH, NY, RI	1 (9.1%) ME	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	4 (36.4%) IN, UT, VT, WI	5 (45.5%) MA, ME, MN, NY, RI	0 (0%)	2 (18.2%) NH, OK	0 (0%)
HIV: Test Results	4 (36.4%) IN, UT, VT, WI	6 (54.5%) MA, ME, MN, NH, NY, RI	0 (0%)	1 (9.1%) OK	0 (0%)
HIV: Testing—ID of Person Taking Test	4 (36.4%) IN, UT, VT, WI	5 (45.5%) MA, ME, MN, NY, RI	1 (9.1%) NH	1 (9.1%) OK	0 (0%)
HIV: Medications Used	4 (36.4%) IN, UT, VT, WI	4 (36.4%) MA, MN, NY, RI	1 (9.1%) ME	2 (18.2%) NH, OK	0 (0%)
HIV: Other Indication of HIV/AIDS Status	4 (36.4%) IN, UT, VT, WI	5 (45.5%) MA, ME, MN, NY, RI	0 (0%)	2 (18.2%) NH, OK	0 (0%)
Immunization History from Provider Record	7 (63.6%) IN, NH, OK, RI, UT, VT, WI	1 (9.1%) NY	2 (18.2%) ME, MN	0 (0%)	1 (9.1%) MA
Lab Test Order and Results (excluding HIV and genetic tests)	7 (63.6%) IN, NH, OK, RI, UT, VT, WI	2 (18.2%) MN, NY	1 (9.1%) ME	0 (0%)	1 (9.1%) MA
Medication History (excluding medications taken for HIV)	7 (63.6%) IN, MA, NH, OK, RI, UT, WI	2 (18.2%) MN, NY	2 (18.2%) ME, VT	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	6 (54.5%) IN, MA, NH, UT, VT, WI	3 (27.3%) MN, NY, OK	2 (18.2%) ME, RI	0 (0%)	0 (0%)
Other STDs	5 (45.5%) IN, NH, UT, VT, WI	3 (27.3%) MN, NY, RI	1 (9.1%) ME	2 (18.2%) MA, OK	0 (0%)
Patient ID and Demographic Information	8 (72.7%) IN, MA, NH, OK, RI, UT, VT, WI	2 (18.2%) MN, NY	1 (9.1%) ME	0 (0%)	0 (0%)
Substance Abuse	7 (63.6%) IN, MA, NH, RI, UT, VT, WI	4 (36.4%) ME, MN, NY, OK	0 (0%)	0 (0%)	0 (0%)
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-4. Scenario 1: Mental Health Facility—Inpatient

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	4 (36.4%) IN, OK, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	3 (27.3%) IN, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	1 (9.1%) WI	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	3 (27.3%) IN, OK, UT	6 (54.5%) MA, ME, MN, NY, VT, WI	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	3 (27.3%) IN, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	3 (27.3%) NH, RI, WI	0 (0%)	0 (0%)
Genetic (tests or information)	5 (45.5%) IN, OK, RI, UT, WI	6 (54.5%) MA, ME, MN, NH, NY, VT	0 (0%)	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Medications Used	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, NY, VT, WI	2 (18.2%) MN, RI	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	3 (27.3%) IN, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	3 (27.3%) NH, RI, WI	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	4 (36.4%) IN, OK, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	1 (9.1%) UT	7 (63.6%) MA, ME, MN, NY, OK, VT, WI	2 (18.2%) NH, RI	1 (9.1%) IN	0 (0%)
Other STDs	4 (36.4%) NH, OK, UT, WI	5 (45.5%) ME, MN, NY, RI, VT	0 (0%)	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	4 (36.4%) IN, OK, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Substance Abuse	2 (18.2%) NH, UT	7 (63.6%) MA, ME, MN, NY, OK, VT, WI	1 (9.1%) RI	1 (9.1%) IN	0 (0%)
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-5. Scenario 1: Mental Health Facility—Outpatient (Excluding Provider Licensing Laws)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	4 (36.4%) IN, OK, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	3 (27.3%) IN, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	1 (9.1%) WI	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	3 (27.3%) IN, OK, UT	6 (54.5%) MA, ME, MN, NY, VT, WI	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	3 (27.3%) IN, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	3 (27.3%) NH, RI, WI	0 (0%)	0 (0%)
Genetic (tests or information)	5 (45.5%) IN, OK, RI, UT, WI	6 (54.5%) MA, ME, MN, NH, NY, VT	0 (0%)	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Medications Used	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	4 (36.4%) OK, RI, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, NY, VT, WI	2 (18.2%) MN, RI	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	3 (27.3%) IN, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	3 (27.3%) NH, RI, WI	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	4 (36.4%) IN, OK, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	1 (9.1%) UT	7 (63.6%) MA, ME, MN, NY, OK, VT, WI	2 (18.2%) NH, RI	1 (9.1%) IN	0 (0%)
Other STDs	4 (36.4%) NH, OK, UT, WI	5 (45.5%) ME, MN, NY, RI, VT	0 (0%)	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	4 (36.4%) IN, OK, UT, WI	5 (45.5%) MA, ME, MN, NY, VT	2 (18.2%) NH, RI	0 (0%)	0 (0%)
Substance Abuse	2 (18.2%) NH, UT	7 (63.6%) MA, ME, MN, NY, OK, VT, WI	1 (9.1%) RI	1 (9.1%) IN	0 (0%)
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-6. Scenario 1: Mental Health Provider Licensing Laws—Psychiatrist

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	0 (0%)	2 (18.2%) RI, WI
Claims Data (other than medication history; excludes Medicaid claims)	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	0 (0%)	2 (18.2%) RI, WI
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	3 (27.3%) IN, NH, OK	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) UT	0 (0%)	2 (18.2%) RI, WI
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	0 (0%)	2 (18.2%) RI, WI
Genetic (tests or information)	3 (27.3%) IN, OK, UT	6 (54.5%) MA, ME, MN, NH, NY, VT	0 (0%)	0 (0%)	2 (18.2%) RI, WI
HIV: Diagnosis for HIV/AIDS	2 (18.2%) OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	2 (18.2%) RI, WI
HIV: Test Results	2 (18.2%) OK, UT	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	2 (18.2%) RI, WI
HIV: Testing—ID of Person Taking Test	2 (18.2%) OK, UT	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	2 (18.2%) RI, WI
HIV: Medications Used	2 (18.2%) OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	2 (18.2%) RI, WI
HIV: Other Indication of HIV/AIDS Status	2 (18.2%) OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	2 (18.2%) RI, WI
Immunization History from Provider Record	4 (36.4%) IN, NH, OK, UT	4 (36.4%) MA, ME, NY, VT	1 (9.1%) MN	0 (0%)	2 (18.2%) RI, WI
Lab Test Order and Results (excluding HIV and genetic tests)	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	0 (0%)	2 (18.2%) RI, WI
Medication History (excluding medications taken for HIV)	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	0 (0%)	2 (18.2%) RI, WI
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	2 (18.2%) NH, UT	6 (54.5%) MA, ME, MN, NY, OK, VT	0 (0%)	1 (9.1%) IN	2 (18.2%) RI, WI
Other STDs	3 (27.3%) NH, OK, UT	4 (36.4%) ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, MA	2 (18.2%) RI, WI
Patient ID and Demographic Information	4 (36.4%) IN, NH, OK, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	0 (0%)	2 (18.2%) RI, WI
Substance Abuse	2 (18.2%) NH, UT	6 (54.5%) MA, ME, MN, NY, OK, VT	0 (0%)	1 (9.1%) IN	2 (18.2%) RI, WI
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-7. Scenario 1: Mental Health Provider Licensing Laws—Psychologist

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	4 (36.4%) IN, NH, OK, UT	4 (36.4%) ME, MN, NY, VT	2 (18.2%) MA, RI	0 (0%)	1 (9.1%) WI
Claims Data (other than medication history; excludes Medicaid claims)	3 (27.3%) IN, NH, OK	4 (36.4%) ME, MN, NY, VT	2 (18.2%) MA, RI	0 (0%)	2 (18.2%) UT, WI
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	3 (27.3%) IN, NH, OK	4 (36.4%) ME, MN, NY, VT	3 (27.3%) MA, RI, UT	0 (0%)	1 (9.1%) WI
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	4 (36.4%) IN, NH, OK, UT	4 (36.4%) ME, MN, NY, VT	2 (18.2%) MA, RI	0 (0%)	1 (9.1%) WI
Genetic (tests or information)	4 (36.4%) IN, OK, RI, UT	6 (54.5%) MA, ME, MN, NH, NY, VT	0 (0%)	0 (0%)	1 (9.1%) WI
HIV: Diagnosis for HIV/AIDS	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) WI
HIV: Test Results	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) WI
HIV: Testing—ID of Person Taking Test	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) WI
HIV: Medications Used	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) WI
HIV: Other Indication of HIV/AIDS Status	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) WI
Immunization History from Provider Record	4 (36.4%) IN, NH, OK, UT	3 (27.3%) ME, NY, VT	3 (27.3%) MA, MN, RI	0 (0%)	1 (9.1%) WI
Lab Test Order and Results (excluding HIV and genetic tests)	4 (36.4%) IN, NH, OK, UT	4 (36.4%) ME, MN, NY, VT	2 (18.2%) MA, RI	0 (0%)	1 (9.1%) WI
Medication History (excluding medications taken for HIV)	4 (36.4%) IN, NH, OK, UT	4 (36.4%) ME, MN, NY, VT	2 (18.2%) MA, RI	0 (0%)	1 (9.1%) WI
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	2 (18.2%) NH, UT	5 (45.5%) ME, MN, NY, OK, VT	2 (18.2%) MA, RI	1 (9.1%) IN	1 (9.1%) WI
Other STDs	3 (27.3%) NH, OK, UT	5 (45.5%) ME, MN, NY, RI, VT	0 (0%)	2 (18.2%) IN, MA	1 (9.1%) WI
Patient ID and Demographic Information	4 (36.4%) IN, NH, OK, UT	4 (36.4%) ME, MN, NY, VT	2 (18.2%) MA, RI	0 (0%)	1 (9.1%) WI
Substance Abuse	2 (18.2%) NH, UT	5 (45.5%) ME, MN, NY, OK, VT	2 (18.2%) MA, RI	1 (9.1%) IN	1 (9.1%) WI
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-8. Scenario 1: Other Outpatient Facility (Nonmental Health and Nonsubstance or Alcohol Abuse)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	8 (72.7%) IN, MA, ME, NH, OK, RI, UT, WI	3 (27.3%) MN, NY, VT	0 (0%)	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	6 (54.5%) IN, MA, ME, NH, OK, RI	3 (27.3%) MN, NY, VT	0 (0%)	1 (9.1%) WI	1 (9.1%) UT
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) IN, MA, ME, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) WI	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	7 (63.6%) IN, MA, ME, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) WI	0 (0%)	0 (0%)
Genetic (tests or information)	4 (36.4%) IN, OK, RI, WI	5 (45.5%) MA, MN, NH, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) UT
HIV: Diagnosis for HIV/AIDS	3 (27.3%) OK, RI, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) UT
HIV: Test Results	3 (27.3%) OK, RI, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) UT
HIV: Testing—ID of Person Taking Test	3 (27.3%) OK, RI, WI	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) UT
HIV: Medications Used	4 (36.4%) ME, OK, RI, WI	4 (36.4%) MA, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) UT
HIV: Other Indication of HIV/AIDS Status	3 (27.3%) OK, RI, WI	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) UT
Immunization History from Provider Record	7 (63.6%) IN, MA, ME, NH, OK, RI, WI	2 (18.2%) NY, VT	1 (9.1%) MN	0 (0%)	1 (9.1%) UT
Lab Test Order and Results (excluding HIV and genetic tests)	6 (54.5%) IN, MA, ME, NH, OK, RI	3 (27.3%) MN, NY, VT	1 (9.1%) WI	0 (0%)	1 (9.1%) UT
Medication History (excluding medications taken for HIV)	8 (72.7%) IN, MA, ME, NH, OK, RI, UT, WI	3 (27.3%) MN, NY, VT	0 (0%)	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	1 (9.1%) NH	5 (45.5%) MA, MN, NY, OK, VT	2 (18.2%) ME, RI	2 (18.2%) IN, WI	1 (9.1%) UT
Other STDs	3 (27.3%) NH, OK, WI	4 (36.4%) MN, NY, RI, VT	1 (9.1%) ME	2 (18.2%) IN, MA	1 (9.1%) UT
Patient ID and Demographic Information	8 (72.7%) IN, MA, ME, NH, OK, RI, UT, WI	3 (27.3%) MN, NY, VT	0 (0%)	0 (0%)	0 (0%)
Substance Abuse	3 (27.3%) MA, NH, RI	5 (45.5%) ME, MN, NY, OK, VT	0 (0%)	2 (18.2%) IN, WI	1 (9.1%) UT
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-9. Scenario 1: Pharmacy/Pharmacist

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	7 (63.6%) MA, ME, NH, OK, RI, VT, WI	2 (18.2%) MN, NY	0 (0%)	1 (9.1%) IN	1 (9.1%) UT
Claims Data (other than medication history; excludes Medicaid claims)	6 (54.5%) MA, ME, NH, OK, RI, VT	2 (18.2%) MN, NY	0 (0%)	2 (18.2%) IN, WI	1 (9.1%) UT
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	5 (45.5%) MA, ME, NH, OK, VT	2 (18.2%) MN, NY	1 (9.1%) WI	1 (9.1%) IN	2 (18.2%) RI, UT
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	5 (45.5%) MA, ME, NH, OK, VT	2 (18.2%) MN, NY	1 (9.1%) WI	1 (9.1%) IN	2 (18.2%) RI, UT
Genetic (tests or information)	4 (36.4%) OK, RI, VT, WI	3 (27.3%) MN, NH, NY	1 (9.1%) ME	1 (9.1%) IN	2 (18.2%) MA, UT
HIV: Diagnosis for HIV/AIDS	5 (45.5%) MA, OK, RI, VT, WI	3 (27.3%) ME, MN, NY	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) UT
HIV: Test Results	5 (45.5%) MA, OK, RI, VT, WI	3 (27.3%) ME, MN, NY	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) UT
HIV: Testing—ID of Person Taking Test	5 (45.5%) MA, OK, RI, VT, WI	3 (27.3%) ME, MN, NY	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) UT
HIV: Medications Used	5 (45.5%) MA, ME, OK, RI, WI	2 (18.2%) MN, NY	1 (9.1%) VT	2 (18.2%) IN, NH	1 (9.1%) UT
HIV: Other Indication of HIV/AIDS Status	5 (45.5%) MA, OK, RI, VT, WI	3 (27.3%) ME, MN, NY	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) UT
Immunization History from Provider Record	6 (54.5%) ME, NH, OK, RI, VT, WI	1 (9.1%) NY	1 (9.1%) MN	1 (9.1%) IN	2 (18.2%) MA, UT
Lab Test Order and Results (excluding HIV and genetic tests)	5 (45.5%) MA, ME, NH, OK, VT	2 (18.2%) MN, NY	1 (9.1%) WI	1 (9.1%) IN	2 (18.2%) RI, UT
Medication History (excluding medications taken for HIV)	6 (54.5%) MA, ME, NH, OK, RI, WI	2 (18.2%) MN, NY	2 (18.2%) UT, VT	1 (9.1%) IN	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	3 (27.3%) ME, NH, VT	3 (27.3%) MN, NY, OK	1 (9.1%) RI	2 (18.2%) IN, WI	2 (18.2%) MA, UT
Other STDs	5 (45.5%) ME, NH, OK, VT, WI	3 (27.3%) MN, NY, RI	0 (0%)	1 (9.1%) IN	2 (18.2%) MA, UT
Patient ID and Demographic Information	7 (63.6%) MA, ME, NH, OK, RI, VT, WI	2 (18.2%) MN, NY	0 (0%)	1 (9.1%) IN	1 (9.1%) UT
Substance Abuse	3 (27.3%) NH, RI, VT	4 (36.4%) ME, MN, NY, OK	0 (0%)	2 (18.2%) IN, WI	2 (18.2%) MA, UT
Other: Copies of Prescriptions	—	—	MN	—	—

Table B-10. Scenario 1: Physicians (Other Than Psychiatrists)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	6 (54.5%) IN, MA, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
Claims Data (other than medication history; excludes Medicaid claims)	6 (54.5%) IN, MA, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	6 (54.5%) IN, MA, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	6 (54.5%) IN, MA, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
Genetic (tests or information)	4 (36.4%) IN, OK, RI, UT	5 (45.5%) MA, MN, NH, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
HIV: Diagnosis for HIV/AIDS	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) WI
HIV: Test Results	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) WI
HIV: Testing—ID of Person Taking Test	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) WI
HIV: Medications Used	3 (27.3%) OK, RI, UT	4 (36.4%) MA, MN, NY, VT	1 (9.1%) ME	2 (18.2%) IN, NH	1 (9.1%) WI
HIV: Other Indication of HIV/AIDS Status	3 (27.3%) OK, RI, UT	5 (45.5%) MA, ME, MN, NY, VT	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) WI
Immunization History from Provider Record	7 (63.6%) IN, MA, ME, NH, OK, RI, UT	2 (18.2%) NY, VT	1 (9.1%) MN	0 (0%)	1 (9.1%) WI
Lab Test Order and Results (excluding HIV and genetic tests)	6 (54.5%) IN, MA, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
Medication History (excluding medications taken for HIV)	6 (54.5%) IN, MA, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	2 (18.2%) NH, UT	5 (45.5%) MA, MN, NY, OK, VT	2 (18.2%) ME, RI	1 (9.1%) IN	1 (9.1%) WI
Other STDs	3 (27.3%) NH, OK, UT	4 (36.4%) MN, NY, RI, VT	1 (9.1%) ME	2 (18.2%) IN, MA	1 (9.1%) WI
Patient ID and Demographic Information	6 (54.5%) IN, MA, NH, OK, RI, UT	3 (27.3%) MN, NY, VT	1 (9.1%) ME	0 (0%)	1 (9.1%) WI
Substance Abuse	4 (36.4%) MA, NH, RI, UT	5 (45.5%) ME, MN, NY, OK, VT	0 (0%)	1 (9.1%) IN	1 (9.1%) WI
Other: Copies of Prescriptions	—	—	—	—	MN

**Table B-11. Scenario 1: Substance or Alcohol Abuse (Nonmental Health)—
Outpatient or Inpatient Facility**

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	5 (45.5%) IN, NH, OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	5 (45.5%) IN, NH, OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	5 (45.5%) IN, NH, OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	5 (45.5%) IN, NH, OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
Genetic (tests or information)	4 (36.4%) IN, OK, UT, WI	7 (63.6%) MA, ME, MN, NH, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	3 (27.3%) OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Test Results	3 (27.3%) OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	3 (27.3%) OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Medications Used	3 (27.3%) OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
HIV: Other Indication of HIV/AIDS Status	3 (27.3%) OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	1 (9.1%) NH	1 (9.1%) IN	0 (0%)
Immunization History from Provider Record	5 (45.5%) IN, NH, OK, UT, WI	5 (45.5%) MA, ME, NY, RI, VT	1 (9.1%) MN	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	5 (45.5%) IN, NH, OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	5 (45.5%) IN, NH, OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	3 (27.3%) NH, UT, WI	7 (63.6%) MA, ME, MN, NY, OK, RI, VT	0 (0%)	1 (9.1%) IN	0 (0%)
Other STDs	4 (36.4%) NH, OK, UT, WI	5 (45.5%) ME, MN, NY, RI, VT	0 (0%)	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	5 (45.5%) IN, NH, OK, UT, WI	6 (54.5%) MA, ME, MN, NY, RI, VT	0 (0%)	0 (0%)	0 (0%)
Substance Abuse	3 (27.3%) NH, UT, WI	7 (63.6%) MA, ME, MN, NY, OK, RI, VT	0 (0%)	1 (9.1%) IN	0 (0%)
Other: Copies of Prescriptions	—	—	—	—	MN

Table B-12. Scenario 1: Other—Treatment Facility¹

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	WI	—	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	—	—	—	WI	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	—	WI	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	—	—	WI	—	—
Genetic (tests or information)	WI	—	—	—	—
HIV: Diagnosis for HIV/AIDS	WI	—	—	—	—
HIV: Test Results	WI	—	—	—	—
HIV: Testing—ID of Person Taking Test	WI	—	—	—	—
HIV: Medications Used	WI	—	—	—	—
HIV: Other Indication of HIV/AIDS Status	WI	—	—	—	—
Immunization History from Provider Record	—	WI	—	—	—
Lab Test Order and Results (excluding HIV and genetic)	—	—	WI	—	—
Medication History (excluding medications for HIV)	WI	—	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	WI	—	—	—
Other STDs	WI	—	—	—	—
Patient ID and Demographic Information	WI	—	—	—	—
Substance Abuse	—	WI	—	—	—
Other: Copies of Prescriptions	—	—	—	—	—

Table B-13. Scenario 1: Other—Social Workers

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	—	—	MA	—	—
Claims Data (other than medication history; excludes Medicaid claims)	—	—	MA	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	—	—	MA	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	—	—	MA	—	—
Genetic (tests or information)	—	MA	—	—	—
HIV: Diagnosis for HIV/AIDS	—	MA	—	—	—
HIV: Test Results	—	MA	—	—	—
HIV: Testing—ID of Person Taking Test	—	MA	—	—	—
HIV: Medications Used	—	MA	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	MA	—	—	—
Immunization History from Provider Record	—	—	MA	—	—
Lab Test Order and Results (excluding HIV and genetic)	—	—	MA	—	—
Medication History (excluding medications for HIV)	—	—	MA	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	—	MA	—	—
Other STDs	—	—	—	MA	—
Patient ID and Demographic Information	—	—	MA	—	—
Substance Abuse	—	—	MA	—	—
Other: Copies of Prescriptions	—	—	—	—	—

¹ Tables B-12 through B-17 are specific to only the state noted in the table. These tables show the disclosure restrictions on categories of information that are regulated by the state, but were not named in the template.

Table B-14. Scenario 1: Other—Mammography Facility

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	—	MA	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	—	MA	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	—	MA	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	—	MA	—	—	—
Genetic (tests or information)	—	MA	—	—	—
HIV: Diagnosis for HIV/AIDS	—	MA	—	—	—
HIV: Test Results	—	MA	—	—	—
HIV: Testing—ID of Person Taking Test	—	MA	—	—	—
HIV: Medications Used	—	MA	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	MA	—	—	—
Immunization History from Provider Record	—	MA	—	—	—
Lab Test Order and Results (excluding HIV and genetic)	—	MA	—	—	—
Medication History (excluding medications for HIV)	—	MA	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	MA	—	—	—
Other STDs	—	—	—	MA	—
Patient ID and Demographic Information	—	MA	—	—	—
Substance Abuse	—	MA	—	—	—
Other: Copies of Prescriptions	—	—	—	—	—

Table B-15. Scenario 1: Other—OP Physical Therapy Center

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	UT	—	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	UT	—	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	UT	—	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	UT	—	—	—	—
Genetic (tests or information)	—	—	—	—	UT
HIV: Diagnosis for HIV/AIDS	—	—	—	—	UT
HIV: Test Results	—	—	—	—	UT
HIV: Testing—ID of Person Taking Test	—	—	—	—	UT
HIV: Medications Used	—	—	—	—	UT
HIV: Other Indication of HIV/AIDS Status	—	—	—	—	UT
Immunization History from Provider Record	—	—	—	—	UT
Lab Test Order and Results (excluding HIV and genetic)	UT	—	—	—	—
Medication History (excluding medications for HIV)	UT	—	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	—	—	—	UT
Other STDs	—	—	—	—	UT
Patient ID and Demographic Information	UT	—	—	—	—
Substance Abuse	—	—	—	—	UT
Other: Copies of Prescriptions	—	—	—	—	—

Table B-16. Scenario 1: Nonprofit Hospital Service Corporation

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	RI	—	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	RI	—	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	RI	—	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	RI	—	—	—	—
Genetic (tests or information)	—	RI	—	—	—
HIV: Diagnosis for HIV/AIDS	—	RI	—	—	—
HIV: Test Results	—	RI	—	—	—
HIV: Testing—ID of Person Taking Test	—	RI	—	—	—
HIV: Medications Used	—	RI	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	RI	—	—	—
Immunization History from Provider Record	RI	—	—	—	—
Lab Test Order and Results (excluding HIV and genetic)	RI	—	—	—	—
Medication History (excluding medications for HIV)	RI	—	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	—	RI	—	—
Other STDs	—	RI	—	—	—
Patient ID and Demographic Information	RI	—	—	—	—
Substance Abuse	RI	—	—	—	—

Table B-17. Scenario 1: Nonprofit Medical Service Corporation

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	RI	—	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	RI	—	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	RI	—	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	RI	—	—	—	—
Genetic (tests or information)	—	RI	—	—	—
HIV: Diagnosis for HIV/AIDS	—	RI	—	—	—
HIV: Test Results	—	RI	—	—	—
HIV: Testing—ID of Person Taking Test	—	RI	—	—	—
HIV: Medications Used	—	RI	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	RI	—	—	—
Immunization History from Provider Record	RI	—	—	—	—
Lab Test Order and Results (excluding HIV and genetic)	RI	—	—	—	—
Medication History (excluding medications for HIV)	RI	—	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	—	RI	—	—
Other STDs	—	RI	—	—	—
Patient ID and Demographic Information	RI	—	—	—	—
Substance Abuse	RI	—	—	—	—

BASELINE DISCLOSURE REQUIREMENTS SCENARIO 2: TREATMENT—EMERGENT

Scenario

An adult person from your state is seen by a health care provider in another state seeking emergent care. Is consent required by your state to allow the disclosure of any and all PHI on this patient held by the “PHI Sources” to the health care provider in the other state? (Refer to Section 3.3 Summary Data for explanation of responses.)

Table B-18. Scenario 2: Commercial Payer (Other than Managed Care Organization)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME
Claims Data (other than medication history; excludes Medicaid claims)	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME
Genetic (tests or information)	7 (63.6%) IN, MN, NY, OK, UT, VT, WI	3 (27.3%) MA, NH, RI	0 (0%)	0 (0%)	1 (9.1%) ME
HIV: Diagnosis for HIV/AIDS	6 (54.5%) IN, MN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	0 (0%)	2 (18.2%) NH, OK	0 (0%)
HIV: Test Results	6 (54.5%) IN, MN, NY, UT, VT, WI	4 (36.4%) MA, ME, NH, RI	0 (0%)	1 (9.1%) OK	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) IN, MN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	1 (9.1%) NH	1 (9.1%) OK	0 (0%)
HIV: Medications Used	6 (54.5%) IN, MN, NY, UT, VT, WI	2 (18.2%) MA, RI	0 (0%)	2 (18.2%) NH, OK	1 (9.1%) ME
HIV: Other Indication of HIV/AIDS Status	6 (54.5%) IN, MN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	0 (0%)	2 (18.2%) NH, OK	0 (0%)
Immunization History from Provider Record	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	2 (18.2%) MA, ME
Lab Test Order and Results (excluding HIV and genetic tests)	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME
Medication History (excluding medications taken for HIV)	8 (72.7%) IN, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) ME
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME
Other STDs	7 (63.6%) IN, MN, NH, NY, UT, VT, WI	1 (9.1%) RI	0 (0%)	2 (18.2%) MA, OK	1 (9.1%) ME

(continued)

Table B-18. Scenario 2: Commercial Payer (Other than Managed Care Organization) (continued)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Patient ID and Demographic Information	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME
Substance Abuse	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	1 (9.1%) MA	0 (0%)	0 (0%)	1 (9.1%) ME

Table B-19. Scenario 2: Hospital (Nonmental Health)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Genetic (tests or information)	8 (72.7%) IN, ME, MN, NY, OK, RI, UT, WI	2 (18.2%) MA, NH	1 (9.1%) VT	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Medications Used	7 (63.6%) ME, MN, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) ME, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	1 (9.1%) IN	0 (0%)
Other STDs	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Substance Abuse	9 (81.8%) MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	1 (9.1%) IN	0 (0%)

Table B-20. Scenario 2: Managed Care Organizations

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	2 (18.2%) MA, ME
Claims Data (other than medication history; excludes Medicaid claims)	10 (90.9%) IN, MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	1 (9.1%) ME
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	2 (18.2%) MA, ME
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	10 (90.9%) IN, MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	1 (9.1%) ME
Genetic (tests or information)	7 (63.6%) IN, MN, NY, OK, UT, VT, WI	3 (27.3%) MA, NH, RI	0 (0%)	0 (0%)	1 (9.1%) ME
HIV: Diagnosis for HIV/AIDS	6 (54.5%) IN, MN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	0 (0%)	2 (18.2%) NH, OK	0 (0%)
HIV: Test Results	6 (54.5%) IN, MN, NY, UT, VT, WI	4 (36.4%) MA, ME, NH, RI	0 (0%)	1 (9.1%) OK	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) IN, MN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	1 (9.1%) NH	1 (9.1%) OK	0 (0%)
HIV: Medications Used	6 (54.5%) IN, MN, NY, UT, VT, WI	2 (18.2%) MA, RI	0 (0%)	2 (18.2%) NH, OK	1 (9.1%) ME
HIV: Other Indication of HIV/AIDS Status	6 (54.5%) IN, MN, NY, UT, VT, WI	3 (27.3%) MA, ME, RI	0 (0%)	2 (18.2%) NH, OK	0 (0%)
Immunization History from Provider Record	10 (90.9%) IN, ME, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	1 (9.1%) MA
Lab Test Order and Results (excluding HIV and genetic tests)	9 (81.8%) IN, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	2 (18.2%) MA, ME
Medication History (excluding medications taken for HIV)	9 (81.8%) IN, MA, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	1 (9.1%) ME
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	10 (90.9%) IN, MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	1 (9.1%) ME
Other STDs	7 (63.6%) IN, MN, NH, NY, UT, VT, WI	1 (9.1%) RI	0 (0%)	2 (18.2%) MA, OK	1 (9.1%) ME
Patient ID and Demographic Information	10 (90.9%) IN, MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	1 (9.1%) ME
Substance Abuse	10 (90.9%) IN, MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	0 (0%)	1 (9.1%) ME

Table B-21. Scenario 2: Mental Health Facility—Inpatient

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	9 (81.8%) IN, MA, ME, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Genetic (tests or information)	7 (63.6%) IN, MN, NY, OK, RI, UT, WI	2 (18.2%) MA, NH	1 (9.1%) VT	1 (9.1%) ME	0 (0%)
HIV: Diagnosis for HIV/AIDS	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Medications Used	6 (54.5%) MN, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	3 (27.3%) IN, ME, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	8 (72.7%) IN, MA, MN, NH, NY, OK, UT, WI	0 (0%)	2 (18.2%) RI, VT	1 (9.1%) ME	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Medication History (excluding medications taken for HIV)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	2 (18.2%) IN, ME	0 (0%)
Other STDs	6 (54.5%) MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	3 (27.3%) IN, MA, ME	0 (0%)
Patient ID and Demographic Information	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Substance Abuse	7 (63.6%) MA, MN, NH, NY, OK, UT, WI	0 (0%)	2 (18.2%) RI, VT	2 (18.2%) IN, ME	0 (0%)

Table B-22. Scenario 2: Mental Health Facility—Outpatient (Excluding Provider Licensing Laws)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	9 (81.8%) IN, MA, ME, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Genetic (tests or information)	7 (63.6%) IN, MN, NY, OK, RI, UT, WI	2 (18.2%) MA, NH	1 (9.1%) VT	1 (9.1%) ME	0 (0%)
HIV: Diagnosis for HIV/AIDS	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Medications Used	6 (54.5%) MN, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	3 (27.3%) IN, ME, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	8 (72.7%) IN, MA, MN, NH, NY, OK, UT, WI	0 (0%)	2 (18.2%) RI, VT	1 (9.1%) ME	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Medication History (excluding medications taken for HIV)	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	2 (18.2%) IN, ME	0 (0%)
Other STDs	6 (54.5%) MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	3 (27.3%) IN, MA, ME	0 (0%)
Patient ID and Demographic Information	8 (72.7%) IN, MA, MN, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) NH, VT	1 (9.1%) ME	0 (0%)
Substance Abuse	7 (63.6%) MA, MN, NH, NY, OK, UT, WI	0 (0%)	2 (18.2%) RI, VT	2 (18.2%) IN, ME	0 (0%)

Table B-23. Scenario 2: Mental Health Provider Licensing Laws—Psychiatrist

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Claims Data (other than medication history; excludes Medicaid claims)	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Genetic (tests or information)	7 (63.6%) IN, ME, MN, NY, OK, UT, WI	2 (18.2%) MA, NH	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
HIV: Diagnosis for HIV/AIDS	5 (45.5%) MN, NY, OK, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	1 (9.1%) RI
HIV: Test Results	5 (45.5%) MN, NY, OK, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	1 (9.1%) RI
HIV: Testing—ID of Person Taking Test	5 (45.5%) MN, NY, OK, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	1 (9.1%) RI
HIV: Medications Used	6 (54.5%) ME, MN, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	2 (18.2%) IN, NH	1 (9.1%) RI
HIV: Other Indication of HIV/AIDS Status	5 (45.5%) MN, NY, OK, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	1 (9.1%) RI
Immunization History from Provider Record	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Lab Test Order and Results (excluding HIV and genetic tests)	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Medication History (excluding medications taken for HIV)	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	1 (9.1%) IN	1 (9.1%) RI
Other STDs	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	0 (0%)	1 (9.1%) VT	2 (18.2%) IN, MA	1 (9.1%) RI
Patient ID and Demographic Information	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) RI
Substance Abuse	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	1 (9.1%) MA	1 (9.1%) VT	1 (9.1%) IN	1 (9.1%) RI

Table B-24. Scenario 2: Mental Health Provider Licensing Laws—Psychologist

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) MA, VT	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	8 (72.7%) IN, ME, MN, NH, NY, OK, RI, WI	0 (0%)	2 (18.2%) MA, VT	0 (0%)	1 (9.1%) UT
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) MA, VT	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) MA, VT	0 (0%)	0 (0%)
Genetic (tests or information)	8 (72.7%) IN, ME, MN, NY, OK, RI, UT, WI	2 (18.2%) MA, NH	1 (9.1%) VT	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Medications Used	7 (63.6%) ME, MN, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	8 (72.7%) IN, ME, MN, NH, NY, OK, UT, WI	0 (0%)	3 (27.3%) MA, RI, VT	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) MA, VT	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) MA, VT	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) MA, VT	1 (9.1%) IN	0 (0%)
Other STDs	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	2 (18.2%) MA, VT	0 (0%)	0 (0%)
Substance Abuse	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	0 (0%)	3 (27.3%) MA, RI, VT	1 (9.1%) IN	0 (0%)

Table B-25. Scenario 2: Other Outpatient Facility (Nonmental Health and Nonsubstance or Alcohol Abuse)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	8 (72.7%) IN, ME, MN, NH, NY, OK, RI, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) UT
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	0 (0%)
Genetic (tests or information)	7 (63.6%) IN, ME, MN, NY, OK, RI, WI	2 (18.2%) MA, NH	1 (9.1%) VT	0 (0%)	1 (9.1%) UT
HIV: Diagnosis for HIV/AIDS	5 (45.5%) MN, NY, OK, RI, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	1 (9.1%) UT
HIV: Test Results	5 (45.5%) MN, NY, OK, RI, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	1 (9.1%) UT
HIV: Testing—ID of Person Taking Test	5 (45.5%) MN, NY, OK, RI, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	1 (9.1%) UT
HIV: Medications Used	6 (54.5%) ME, MN, NY, OK, RI, WI	1 (9.1%) MA	1 (9.1%) VT	2 (18.2%) IN, NH	1 (9.1%) UT
HIV: Other Indication of HIV/AIDS Status	5 (45.5%) MN, NY, OK, RI, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	1 (9.1%) UT
Immunization History from Provider Record	8 (72.7%) IN, ME, MN, NH, NY, OK, RI, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) UT
Lab Test Order and Results (excluding HIV and genetic tests)	8 (72.7%) IN, ME, MN, NH, NY, OK, RI, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	1 (9.1%) UT
Medication History (excluding medications taken for HIV)	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) ME, MN, NH, NY, OK, RI, WI	1 (9.1%) MA	1 (9.1%) VT	1 (9.1%) IN	1 (9.1%) UT
Other STDs	6 (54.5%) ME, MN, NH, NY, OK, WI	1 (9.1%) RI	1 (9.1%) VT	2 (18.2%) IN, MA	1 (9.1%) UT
Patient ID and Demographic Information	9 (81.8%) IN, ME, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	0 (0%)	0 (0%)
Substance Abuse	7 (63.6%) ME, MN, NH, NY, OK, RI, WI	1 (9.1%) MA	1 (9.1%) VT	1 (9.1%) IN	1 (9.1%) UT

Table B-26. Scenario 2: Pharmacy/Pharmacist

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	9 (81.8%) MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	1 (9.1%) ME
Claims Data (other than medication history; excludes Medicaid claims)	9 (81.8%) MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	1 (9.1%) ME
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) MA, MN, NH, NY, OK, UT, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	2 (18.2%) ME, RI
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	8 (72.7%) MA, MN, NH, NY, OK, UT, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	2 (18.2%) ME, RI
Genetic (tests or information)	6 (54.5%) MN, NY, OK, RI, VT, WI	1 (9.1%) NH	0 (0%)	1 (9.1%) IN	3 (27.3%) MA, ME, UT
HIV: Diagnosis for HIV/AIDS	7 (63.6%) MA, MN, NY, OK, RI, VT, WI	1 (9.1%) ME	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) UT
HIV: Test Results	7 (63.6%) MA, MN, NY, OK, RI, VT, WI	1 (9.1%) ME	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) UT
HIV: Testing—ID of Person Taking Test	7 (63.6%) MA, MN, NY, OK, RI, VT, WI	1 (9.1%) ME	1 (9.1%) NH	1 (9.1%) IN	1 (9.1%) UT
HIV: Medications Used	6 (54.5%) MA, MN, NY, OK, RI, WI	0 (0%)	1 (9.1%) VT	2 (18.2%) IN, NH	2 (18.2%) ME, UT
HIV: Other Indication of HIV/AIDS Status	7 (63.6%) MA, MN, NY, OK, RI, VT, WI	1 (9.1%) ME	0 (0%)	2 (18.2%) IN, NH	1 (9.1%) UT
Immunization History from Provider Record	7 (63.6%) MN, NH, NY, OK, RI, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	3 (27.3%) MA, ME, UT
Lab Test Order and Results (excluding HIV and genetic tests)	8 (72.7%) MA, MN, NH, NY, OK, UT, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	2 (18.2%) ME, RI
Medication History (excluding medications taken for HIV)	8 (72.7%) MA, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	1 (9.1%) IN	1 (9.1%) ME
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	7 (63.6%) MN, NH, NY, OK, RI, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	3 (27.3%) MA, ME, UT
Other STDs	6 (54.5%) MN, NH, NY, OK, VT, WI	1 (9.1%) RI	0 (0%)	1 (9.1%) IN	3 (27.3%) MA, ME, UT
Patient ID and Demographic Information	9 (81.8%) MA, MN, NH, NY, OK, RI, UT, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	1 (9.1%) ME
Substance Abuse	7 (63.6%) MN, NH, NY, OK, RI, VT, WI	0 (0%)	0 (0%)	1 (9.1%) IN	3 (27.3%) MA, ME, UT

Table B-27. Scenario 2: Physicians (Other Than Psychiatrists)

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Genetic (tests or information)	8 (72.7%) IN, ME, MN, NY, OK, RI, UT, WI	2 (18.2%) MA, NH	1 (9.1%) VT	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Test Results	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Medications Used	7 (63.6%) ME, MN, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
HIV: Other Indication of HIV/AIDS Status	6 (54.5%) MN, NY, OK, RI, UT, WI	2 (18.2%) MA, ME	1 (9.1%) VT	2 (18.2%) IN, NH	0 (0%)
Immunization History from Provider Record	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) ME, MN, NH, NY, OK, RI, UT, WI	1 (9.1%) MA	1 (9.1%) VT	1 (9.1%) IN	0 (0%)
Other STDs	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	10 (90.9%) IN, MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	0 (0%)	0 (0%)
Substance Abuse	9 (81.8%) MA, ME, MN, NH, NY, OK, RI, UT, WI	0 (0%)	1 (9.1%) VT	1 (9.1%) IN	0 (0%)

**Table B-28. Scenario 2: Substance or Alcohol Abuse (Nonmental Health)—
Outpatient or Inpatient Facility**

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Claims Data (other than medication history; excludes Medicaid claims)	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Genetic (tests or information)	7 (63.6%) IN, ME, MN, NY, OK, UT, WI	3 (27.3%) MA, NH, RI	1 (9.1%) VT	0 (0%)	0 (0%)
HIV: Diagnosis for HIV/AIDS	5 (45.5%) MN, NY, OK, UT, WI	3 (27.3%) MA, ME, RI	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Test Results	5 (45.5%) MN, NY, OK, UT, WI	3 (27.3%) MA, ME, RI	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Testing—ID of Person Taking Test	6 (54.5%) MA, MN, NY, OK, UT, WI	2 (18.2%) ME, RI	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Medications Used	6 (54.5%) ME, MN, NY, OK, UT, WI	2 (18.2%) MA, RI	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
HIV: Other Indication of HIV/AIDS Status	5 (45.5%) MN, NY, OK, UT, WI	3 (27.3%) MA, ME, RI	2 (18.2%) NH, VT	1 (9.1%) IN	0 (0%)
Immunization History from Provider Record	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Lab Test Order and Results (excluding HIV and genetic tests)	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Medication History (excluding medications taken for HIV)	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	8 (72.7%) MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	1 (9.1%) IN	0 (0%)
Other STDs	7 (63.6%) ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	2 (18.2%) IN, MA	0 (0%)
Patient ID and Demographic Information	9 (81.8%) IN, MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	0 (0%)	0 (0%)
Substance Abuse	8 (72.7%) MA, ME, MN, NH, NY, OK, UT, WI	1 (9.1%) RI	1 (9.1%) VT	1 (9.1%) IN	0 (0%)

Table B-29. Scenario 2: Other—Social Workers²

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	—	—	MA	—	—
Claims Data (other than medication history; excludes Medicaid claims)	—	—	MA	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	—	—	MA	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	—	—	MA	—	—
Genetic (tests or information)	—	—	MA	—	—
HIV: Diagnosis for HIV/AIDS	—	MA	—	—	—
HIV: Test Results	—	MA	—	—	—
HIV: Testing—ID of Person Taking Test	—	MA	—	—	—
HIV: Medications Used	—	MA	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	MA	—	—	—
Immunization History from Provider Record	—	—	MA	—	—
Lab Test Order and Results (excluding HIV and genetic tests)	—	—	MA	—	—
Medication History (excluding medications taken for HIV)	—	—	MA	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	—	MA	—	—
Other STDs	—	—	—	MA	—
Patient ID and Demographic Information	—	—	MA	—	—
Substance Abuse	—	—	MA	—	—

² Tables B-29 through B-33 are specific to only the state noted in the table. These tables show the disclosure restrictions on categories of information that are regulated by the state, but were not named in the template.

Table B-30. Scenario 2: Other—Mammography

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	—	MA	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	—	MA	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	—	MA	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	—	MA	—	—	—
Genetic (tests or information)	—	MA	—	—	—
HIV: Diagnosis for HIV/AIDS	—	MA	—	—	—
HIV: Test Results	—	MA	—	—	—
HIV: Testing—ID of Person Taking Test	—	MA	—	—	—
HIV: Medications Used	—	MA	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	MA	—	—	—
Immunization History from Provider Record	—	MA	—	—	—
Lab Test Order and Results (excluding HIV and genetic tests)	—	MA	—	—	—
Medication History (excluding medications taken for HIV)	—	MA	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	MA	—	—	—
Other STDs	—	—	—	MA	—
Patient ID and Demographic Information	—	MA	—	—	—
Substance Abuse	—	MA	—	—	—

Table B-31. Scenario 2: Other—OP Physical Therapy Center

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	UT	—	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	UT	—	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	UT	—	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	UT	—	—	—	—
Genetic (tests or information)	—	—	—	—	UT
HIV: Diagnosis for HIV/AIDS	—	—	—	—	UT
HIV: Test Results	—	—	—	—	UT
HIV: Testing—ID of Person Taking Test	—	—	—	—	UT
HIV: Medications Used	—	—	—	—	UT
HIV: Other Indication of HIV/AIDS Status	—	—	—	—	UT
Immunization History from Provider Record	—	—	—	—	UT
Lab Test Order and Results (excluding HIV and genetic tests)	UT	—	—	—	—
Medication History (excluding medications taken for HIV)	UT	—	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	—	—	—	—	UT
Other STDs	—	—	—	—	UT
Patient ID and Demographic Information	UT	—	—	—	—
Substance Abuse	—	—	—	—	UT

Table B-32. Scenario 2: Nonprofit Hospital Service Corporation

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	RI	—	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	RI	—	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	RI	—	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	RI	—	—	—	—
Genetic (tests or information)	—	RI	—	—	—
HIV: Diagnosis for HIV/AIDS	—	RI	—	—	—
HIV: Test Results	—	RI	—	—	—
HIV: Testing—ID of Person Taking Test	—	RI	—	—	—
HIV: Medications Used	—	RI	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	RI	—	—	—
Immunization History from Provider Record	RI	—	—	—	—
Lab Test Order and Results (excluding HIV and genetic tests)	RI	—	—	—	—
Medication History (excluding medications taken for HIV)	RI	—	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	RI	—	—	—	—
Other STDs	—	RI	—	—	—
Patient ID and Demographic Information	RI	—	—	—	—
Substance Abuse	RI	—	—	—	—

Table B-33. Scenario 2: Nonprofit Medical Service Corporation

Type of PHI	No	Yes	Sometimes	Unclear	N/A
Allergies/Adverse Reactions	RI	—	—	—	—
Claims Data (other than medication history; excludes Medicaid claims)	RI	—	—	—	—
Clinical Notes/Reports (excludes psychotherapy notes as defined in HIPAA)	RI	—	—	—	—
Diagnosis or Procedure Information (excludes HIV/AIDS diagnosis)	RI	—	—	—	—
Genetic (tests or information)	—	RI	—	—	—
HIV: Diagnosis for HIV/AIDS	—	RI	—	—	—
HIV: Test Results	—	RI	—	—	—
HIV: Testing—ID of Person Taking Test	—	RI	—	—	—
HIV: Medications Used	—	RI	—	—	—
HIV: Other Indication of HIV/AIDS Status	—	RI	—	—	—
Immunization History from Provider Record	RI	—	—	—	—
Lab Test Order and Results (excluding HIV and genetic tests)	RI	—	—	—	—
Medication History (excluding medications taken for HIV)	RI	—	—	—	—
Mental Health Records (excludes psychotherapy notes as defined in HIPAA)	RI	—	—	—	—
Other STDs	—	RI	—	—	—
Patient ID and Demographic Information	RI	—	—	—	—
Substance Abuse	RI	—	—	—	—

BASELINE DISCLOSURE REQUIREMENTS SCENARIO 3: PUBLIC HEALTH

Scenario

Person from your state seeks treatment from a health care provider in another state (e.g., doctor’s office, a health care treatment facility such as a hospital or outpatient center). Is consent required by your state to allow the disclosure of any and all PHI of the types listed below under “Type of Public Health PHI” on this patient held by the state government to the health care provider in the other state? (Refer to Section 3.3 Summary Data for explanation of responses.)

Table B-34. Scenario 3: Hospital

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Cancer Registries	2 (18.2%) RI, UT	5 (45.5%) MA, NH, NY, OK, VT	1 (9.1%) MN	1 (9.1%) WI	2 (18.2%) IN, ME
Communicable Results/Registries	4 (36.4%) NY, RI, UT, WI	3 (27.3%) MA, OK, VT	2 (18.2%) ME, MN	2 (18.2%) IN, NH	0 (0%)
HIV Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	2 (18.2%) IN, NH	0 (0%)
Hospital Admission Records	2 (18.2%) RI, UT	1 (9.1%) NY	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, OK, VT
Hospital Discharge Summaries	1 (9.1%) RI	2 (18.2%) NY, OK	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, UT, VT
State Immunization Registry	3 (27.3%) OK, RI, UT	6 (54.5%) IN, MA, NH, NY, VT, WI	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Lead Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	1 (9.1%) NH	1 (9.1%) IN
Medication History from PMP	1 (9.1%) RI	5 (45.5%) IN, MA, OK, UT, VT	0 (0%)	1 (9.1%) NY	4 (36.4%) ME, MN, NH, WI
Newborn Screen—Hearing	3 (27.3%) OK, RI, UT	3 (27.3%) MA, ME, VT	1 (9.1%) MN	3 (27.3%) IN, NH, WI	1 (9.1%) NY
Newborn Screen—Metabolic	3 (27.3%) NY, RI, UT	5 (45.5%) MA, ME, NH, OK, VT	1 (9.1%) MN	2 (18.2%) IN, WI	0 (0%)
STD Results/Registries	2 (18.2%) UT, WI	5 (45.5%) MA, NY, OK, RI, VT	1 (9.1%) MN	2 (18.2%) IN, NH	1 (9.1%) ME
Other (All Other Programs Without Specific Statutes or Regulations)	—	—	MA	—	—
Other (Birth Problems Registry)	—	—	—	—	IN
Other (Children’s Special Health Care Services)	IN	—	—	—	—
Other (Chronic Disease Registry)	—	—	—	—	IN

(continued)

Table B-34. Scenario 3: Hospital (continued)

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Other (Genetic Information, Huntington’s Disease Data, Environmental Health Data, Birth Defects Data, and TB Data)	—	—	MN	—	—
Other (Spinal Cord/Brain Injury)	—	—	—	—	IN
Other (Trauma Registry)	—	—	—	—	IN

Table B-35. Scenario 3: Nonphysician Provider

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Cancer Registries	2 (18.2%) RI, UT	5 (45.5%) MA, NH, NY, OK, VT	1 (9.1%) MN	1 (9.1%) WI	2 (18.2%) IN, ME
Communicable Results/Registries	3 (27.3%) RI, UT, WI	4 (36.4%) MA, NY, OK, VT	2 (18.2%) ME, MN	2 (18.2%) IN, NH	0 (0%)
HIV Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	2 (18.2%) IN, NH	0 (0%)
Hospital Admission Records	2 (18.2%) RI, UT	1 (9.1%) NY	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, OK, VT
Hospital Discharge Summaries	1 (9.1%) RI	2 (18.2%) NY, OK	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, UT, VT
State Immunization Registry	4 (36.4%) OK, RI, UT, VT	4 (36.4%) IN, MA, NH, NY	2 (18.2%) ME, MN	1 (9.1%) WI	0 (0%)
Lead Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	1 (9.1%) NH	1 (9.1%) IN
Medication History from PMP	1 (9.1%) RI	4 (36.4%) IN, MA, UT, VT	0 (0%)	1 (9.1%) NY	5 (45.5%) ME, MN, NH, OK, WI
Newborn Screen—Hearing	3 (27.3%) OK, RI, UT	3 (27.3%) MA, ME, VT	1 (9.1%) MN	3 (27.3%) IN, NH, WI	1 (9.1%) NY
Newborn Screen—Metabolic	2 (18.2%) RI, UT	6 (54.5%) MA, ME, NH, NY, OK, VT	1 (9.1%) MN	2 (18.2%) IN, WI	0 (0%)
STD Results/Registries	2 (18.2%) UT, WI	5 (45.5%) MA, NY, OK, RI, VT	1 (9.1%) MN	2 (18.2%) IN, NH	1 (9.1%) ME
Other (All Other Programs Without Specific Statutes or Regulations)	—	—	MA	—	—
Other (Birth Problems Registry)	—	—	—	—	IN
Other (Children’s Special Health Care Services)	IN	—	—	—	—
Other (Chronic Disease Registry)	—	—	—	—	IN
Other (Genetic Information, Huntington’s Disease Data, Environmental Health Data, Birth Defects Data, and TB Data)	—	—	MN	—	—
Other (Spinal Cord/Brain Injury)	—	—	—	—	IN
Other (Trauma Registry)	—	—	—	—	IN

Table B-36. Scenario 3: Other Inpatient or Outpatient Facility

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Cancer Registries	2 (18.2%) RI, UT	5 (45.5%) MA, NH, NY, OK, VT	1 (9.1%) MN	1 (9.1%) WI	2 (18.2%) IN, ME
Communicable Results/Registries	4 (36.4%) NY, RI, UT, WI	3 (27.3%) MA, OK, VT	2 (18.2%) ME, MN	2 (18.2%) IN, NH	0 (0%)
HIV Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	2 (18.2%) IN, NH	0 (0%)
Hospital Admission Records	2 (18.2%) RI, UT	1 (9.1%) NY	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, OK, VT
Hospital Discharge Summaries	1 (9.1%) RI	2 (18.2%) NY, OK	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, UT, VT
State Immunization Registry	3 (27.3%) OK, RI, UT	6 (54.5%) IN, MA, NH, NY, VT, WI	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Lead Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	1 (9.1%) NH	1 (9.1%) IN
Medication History from PMP	1 (9.1%) RI	4 (36.4%) IN, MA, UT, VT	0 (0%)	1 (9.1%) NY	5 (45.5%) ME, MN, NH, OK, WI
Newborn Screen—Hearing	3 (27.3%) OK, RI, UT	3 (27.3%) MA, ME, VT	1 (9.1%) MN	3 (27.3%) IN, NH, WI	1 (9.1%) NY
Newborn Screen—Metabolic	3 (27.3%) NY, RI, UT	5 (45.5%) MA, ME, NH, OK, VT	1 (9.1%) MN	2 (18.2%) IN, WI	0 (0%)
STD Results/Registries	2 (18.2%) UT, WI	5 (45.5%) MA, NY, OK, RI, VT	1 (9.1%) MN	2 (18.2%) IN, NH	1 (9.1%) ME
Other (All Other Programs Without Specific Statutes or Regulations)	—	—	MA	—	—
Other (Birth Problems Registry)	—	—	—	—	IN
Other (Children’s Special Health Care Services)	IN	—	—	—	—
Other (Chronic Disease Registry)	—	—	—	—	IN
Other (Genetic Information, Huntington’s Disease Data, Environmental Health Data, Birth Defects Data, and TB Data)	—	—	MN	—	—
Other (Spinal Cord/Brain Injury)	—	—	—	—	IN
Other (Trauma Registry)	—	—	—	—	IN

Table B-37. Scenario 3: Pharmacist

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Cancer Registries	2 (18.2%) RI, UT	5 (45.5%) MA, NH, NY, OK, VT	1 (9.1%) MN	0 (0%)	3 (27.3%) IN, ME, WI
Communicable Results/Registries	2 (18.2%) RI, UT	4 (36.4%) MA, NY, OK, VT	1 (9.1%) MN	2 (18.2%) IN, NH	2 (18.2%) ME, WI
HIV Results	2 (18.2%) RI, UT	4 (36.4%) MA, NY, OK, VT	1 (9.1%) MN	2 (18.2%) IN, NH	2 (18.2%) ME, WI
Hospital Admission Records	2 (18.2%) RI, UT	1 (9.1%) NY	1 (9.1%) MN	0 (0%)	7 (63.6%) IN, MA, ME, NH, OK, VT, WI
Hospital Discharge Summaries	1 (9.1%) RI	2 (18.2%) NY, OK	1 (9.1%) MN	0 (0%)	7 (63.6%) IN, MA, ME, NH, UT, VT, WI
State Immunization Registry	3 (27.3%) OK, RI, UT	5 (45.5%) IN, MA, NH, NY, VT	1 (9.1%) MN	0 (0%)	2 (18.2%) ME, WI
Lead Results	2 (18.2%) RI, UT	4 (36.4%) MA, NY, OK, VT	1 (9.1%) MN	1 (9.1%) NH	3 (27.3%) IN, ME, WI
Medication History from PMP	1 (9.1%) RI	4 (36.4%) IN, MA, UT, VT	0 (0%)	1 (9.1%) NY	5 (45.5%) ME, MN, NH, OK, WI
Newborn Screen—Hearing	3 (27.3%) OK, RI, UT	2 (18.2%) MA, VT	1 (9.1%) MN	2 (18.2%) IN, NH	3 (27.3%) ME, NY, WI
Newborn Screen—Metabolic	2 (18.2%) RI, UT	5 (45.5%) MA, NH, NY, OK, VT	1 (9.1%) MN	1 (9.1%) IN	2 (18.2%) ME, WI
STD Results/Registries	1 (9.1%) UT	5 (45.5%) MA, NY, OK, RI, VT	1 (9.1%) MN	2 (18.2%) IN, NH	2 (18.2%) ME, WI
Other (All Other Programs Without Specific Statutes or Regulations)	—	MA	—	—	—
Other (Birth Problems Registry)	—	—	—	—	IN
Other (Children’s Special Health Care Services)	IN	—	—	—	—
Other (Chronic Disease Registry)	—	—	—	—	IN
Other (Genetic Information, Huntington’s Disease Data, Environmental Health Data, Birth Defects Data, and TB Data)	—	—	MN	—	—
Other (Spinal Cord/Brain Injury)	—	—	—	—	IN
Other (Trauma Registry)	—	—	—	—	IN

Table B-38. Scenario 3: Physician

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Cancer Registries	2 (18.2%) RI, UT	6 (54.5%) IN, MA, NH, NY, OK, VT	1 (9.1%) MN	1 (9.1%) WI	1 (9.1%) ME
Communicable Results/Registries	4 (36.4%) NY, RI, UT, WI	3 (27.3%) MA, OK, VT	2 (18.2%) ME, MN	2 (18.2%) IN, NH	0 (0%)
HIV Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	2 (18.2%) IN, NH	0 (0%)
Hospital Admission Records	2 (18.2%) RI, UT	1 (9.1%) NY	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, OK, VT
Hospital Discharge Summaries	1 (9.1%) RI	2 (18.2%) NY, OK	1 (9.1%) MN	1 (9.1%) WI	6 (54.5%) IN, MA, ME, NH, UT, VT
State Immunization Registry	4 (36.4%) OK, RI, UT, VT	5 (45.5%) IN, MA, NH, NY, WI	2 (18.2%) ME, MN	0 (0%)	0 (0%)
Lead Results	3 (27.3%) RI, UT, WI	5 (45.5%) MA, ME, NY, OK, VT	1 (9.1%) MN	1 (9.1%) NH	1 (9.1%) IN
Medication History from PMP	1 (9.1%) RI	4 (36.4%) IN, MA, UT, VT	0 (0%)	1 (9.1%) NY	5 (45.5%) ME, MN, NH, OK, WI
Newborn Screen—Hearing	3 (27.3%) OK, RI, UT	3 (27.3%) MA, ME, VT	1 (9.1%) MN	3 (27.3%) IN, NH, WI	1 (9.1%) NY
Newborn Screen—Metabolic	3 (27.3%) NY, RI, UT	4 (36.4%) ME, NH, OK, VT	2 (18.2%) MA, MN	2 (18.2%) IN, WI	0 (0%)
STD Results/Registries	2 (18.2%) UT, WI	5 (45.5%) MA, NY, OK, RI, VT	1 (9.1%) MN	2 (18.2%) IN, NH	1 (9.1%) ME
Other (All Other Programs Without Specific Statutes or Regulations)	—	—	MA	—	—
Other (Birth Problems Registry)	—	—	—	—	IN
Other (Children’s Special Health Care Services)	IN	—	—	—	—
Other (Chronic Disease Registry)	—	—	—	—	IN
Other (Genetic Information, Huntington’s Disease Data, Environmental Health Data, Birth Defects Data, and TB Data)	—	—	MN	—	—
Other (Spinal Cord/Brain Injury)	—	—	—	—	IN
Other (Trauma Registry)	—	—	—	—	IN

Table B-39. Scenario 3: Other (Victim of Sexual Offense)³

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Cancer Registries	—	—	—	—	—
Communicable Results/Registries	—	—	—	—	—
HIV Results	UT	—	—	—	—
Hospital Admission Records	—	—	—	—	—
Hospital Discharge Summaries	—	—	—	—	—
State Immunization Registry	UT	—	—	—	—
Lead Results	—	—	—	—	—
Medication History from PMP	—	—	—	—	UT
Newborn Screen—Hearing	—	—	—	—	—
Newborn Screen—Metabolic	—	—	—	—	UT
STD Results/Registries	—	—	—	—	—

Table B-40. Scenario 3: Other (Public Safety and EMS Personnel)

Type of Public Health PHI	No	Yes	Sometimes	Unclear	N/A
Cancer Registries	—	—	—	—	—
Communicable Results/Registries	—	—	—	—	—
HIV Results	UT	—	—	—	—
Hospital Admission Records	—	—	—	—	—
Hospital Discharge Summaries	—	—	—	—	—
State Immunization Registry	UT	—	—	—	—
Lead Results	—	—	—	—	—
Medication History from PMP	—	—	—	—	—
Newborn Screen—Hearing	—	—	—	—	—
Newborn Screen—Metabolic	—	—	—	—	—
STD Results/Registries	—	—	—	—	—

³ Tables B-39 and B-40 are specific to only the state noted in the table. These tables show the disclosure restrictions on categories of information that are regulated by the state, but were not named in the template.